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I hereby certify that this correspondence and any documents indicated as being enclosed therein are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date: 4/9/2002

APR 16 2002

Printed Name: Stephanie Klepp

*MP\$*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Marcia R. Martin ) ART UNIT: 2185  
S )  
SERIAL NO.: 10/034,305 ) ATTY DOCKET NO.: 2001-054-SFT  
FILED: December 28, 2001 )  
TITLE: DATA MANAGEMENT APPLIANCE

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responding to the Notice to File Missing Parts of Nonprovisional Application, mailed March 20, 2002, the following are enclosed:

- a) Signed Declaration;
- b) Substitute Drawings in compliance with 37 CFR 1.84;
- c) Copy of the Notice to File Missing Parts of Application;
- d) Postcard receipt.

Please charge Deposit Account No. 19-4545 in the amount of \$130.00 for the surcharge fee. Any additional fees to enter this response may be charged to Deposit Account No. 19-4545.

Dated: April 8, 2002

*WB*  
Wayne P. Bailey, Reg. No. 34,289

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UNITED STATES PATENT AND TRADEMARK OFFICE

APR 16 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/034,305	12/28/2001 TRADEMARK RECEIVED	Marcia Reid Martin	2001-054-SFT

CONFIRMATION NO. 8942  
FORMALITIES LETTER



\*OC000000007679730\*

STORAGE TECHNOLOGY CORPORATION  
One Storage Tek Drive  
Louisville, CO 80028-4309

Date Mailed: 03/20/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*M.Duong*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE